



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20543

RQ-3

July 24, 2003

Michael Kasper, Treasurer  
Democratic Party of Illinois  
P.O. Box 518  
Springfield, IL 62705

Identification Number: C00167015

Reference: 30 Day Post-General Report (10/17/02-11/25/02)

Dear Mr. Kasper:

This letter is to inform you that as of July 23, 2003, the Commission has not received your response to our request for additional information, dated July 2, 2003. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

An adequate response must be received at the Commission by August 13, 2003. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter, please contact Nicholas Ebinger on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

Michael Kasper, Treasurer  
Democratic Party of Illinois  
P.O. Box 518  
Springfield, IL 62705

JUL 02 2003

Identification Number: C00167015

Reference: 30 Day Post-General Report (10/17/02-11/25/02)

Dear Mr. Kasper:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses one or more contributions from an organization(s) which is not a political committee registered with the Commission. In order for your committee to accept contributions from unregistered organizations into accounts used to influence federal elections, your committee should take steps to insure that the contributor(s) used permissible funds to make the contribution(s) to avoid violating 2 U.S.C. §§441a(f) and 441b or 11 CFR §102.5(b). Under 11 CFR §102.5(b), organizations which are not political committees under the Act and choose to contribute to federal committees must either: 1) establish a separate account which contains only those funds permitted under the Act, or 2) demonstrate through a reasonable accounting method that the organization has received sufficient funds subject to the limitations and prohibitions in order to make the contribution.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. In addition, please clarify whether the contribution(s) received from the referenced organization(s) is permissible.

To the extent that your committee has received prohibited funds, you may have to make a refund. If within 30 days of receipt you (1) transferred the

DEMOCRATIC PARTY OF ILLINOIS  
PAGE 2

prohibited amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund, you may retain the contribution in an account not used to influence federal elections. Any request from a donor for a refund must be honored.

If the foregoing conditions for transfers to a non-federal account were not met within 30 days of receipt, the prohibited amount must be refunded. See 11 CFR 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any transfer-out or refund. Should you choose to transfer-out or refund the contribution(s), the Commission will presume the funds were impermissible if no statement from your committee provides information to the contrary. Transfers-out and refunds should be disclosed on a Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, prompt action by your committee in transferring-out or refunding the amounts will be taken into consideration.

-Schedule B of your report (pertinent portion(s) attached) discloses one or more contributions which appear to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) prohibits a committee from making contributions to another political committee and its affiliates in excess of \$5,000 per calendar year.

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an excessive contribution, you must notify the recipient and request a refund of the amount in excess of \$5,000. The refund of the excessive amount must be made within sixty (60) days of receipt of the contribution.

Please inform the Commission of your corrective action immediately in

DEMOCRATIC PARTY OF ILLINOIS  
PAGE 3

writing and provide a photocopy of the refund request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received.

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund will be taken into consideration.

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in a calendar year. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1))

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The request must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

DEMOCRATIC PARTY OF ILLINOIS  
PAGE 4

Third, if you receive contributor information after the contribution(s) has been reported, you shall either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

-Please clarify all expenditures made for "TV for Phelps" on Schedule H4. If a portion or all of these expenditures were made on behalf of specifically identified federal candidates, this amount should be disclosed on Schedules B, E or F supporting Lines 23, 24 or 25 and include the amount, name, address and office sought by each candidate. 11 CFR §§104.3(b) and 106.1

-Itemized disbursements must include a brief statement or description of why the disbursements were made. Please amend Schedule(s) H4 of your report to clarify the following description(s): "Professional Services" and "Wire to Greer Margolis Mitchell." For further guidance regarding acceptable purposes of disbursements, please refer to 11 CFR §104.3(b)(3).

-Schedule H4 supporting Line 21 of your report discloses expenditures totaling \$5,020.58 that have been itemized as memo entries; however, they do not appear to correspond with any credit card payments or other entries. Please clarify these expenditures.

-Schedule A of your report (pertinent portions attached) discloses one or more contributions which appear to be from a corporation(s). 2 U.S.C. §441b(a) prohibits the receipt of contributions from corporations unless made from a separate segregated fund established by the corporation.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have received a prohibited contribution(s), you may have to make a refund. If within 30 days of receipt you (1) transferred the prohibited

DEMOCRATIC PARTY OF ILLINOIS  
PAGE 5

amount to an account not used to influence federal elections, and (2) provided written notice to the person making the contribution of the option of receiving a refund, you may retain the contribution in an account not used to influence federal elections. Any request from a donor for a refund must be honored.

If the foregoing conditions for transfers to a non-federal account were not met within 30 days of receipt, the prohibited amount must be refunded. See 11 CFR 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of a prohibited contribution, prompt action by your committee to transfer-out or refund the amount will be taken into consideration.

-For future reporting, please be advised that only contributions to federal candidates and political committees should be itemized on a separate Schedule B supporting Line 23 of the Detailed Summary Page. Contributions to non-federal candidates and committees should be itemized on Schedule B supporting Line 29.

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Nicholas T. Ebinger  
Campaign Finance Analyst  
Reports Analysis Division

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category (if the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 201

(check only one)

☐ 11a ☐ 11b ☒ 11c ☐ 12 ☐ 13  
☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DEMOCRATIC PARTY OF ILLINOIS

Full Name (Last, First, Middle Initial)

David L. Congress

Mailing Address

5730 W. Division Street

City

Chicago

State

IL

Zip Code

60651

Date of Receipt

10 / 31 / 2002

Amount of Each Receipt this Period

4000.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Transaction ID: SA11C.15521

Full Name (Last, First, Middle Initial)

Drive Political Fund

Mailing Address

25 Louisiana Avenue N.W.

City

Washington

State

DC

Zip Code

20001

Date of Receipt

10 / 21 / 2002

Amount of Each Receipt this Period

5000.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Transaction ID: SA11C.15499

Full Name (Last, First, Middle Initial)

ENGPAC

Mailing Address

1040 Century Park East

City

Los Angeles

State

CA

Zip Code

90067

Date of Receipt

11 / 15 / 2002

Amount of Each Receipt this Period

5000.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Deposit

Transaction ID: SA11C.16332

14000.00

SUBTOTAL of Receipts This Page (optional) ▶

TOTAL This Period (last page this line number only) ▶

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 of 201

(check only one)

☐ 11a ☐ 11b ☒ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

DEMOCRATIC PARTY OF ILLINOIS

Full Name (Last, First, Middle Initial)

Erangon Philips for State Representative

Mailing Address

P.O. Box 401

City

Harrisburg

State

IL

Zip Code

62846

Date of Receipt

M M / D D / Y Y Y Y  
10 / 21 / 2002

Amount of Each Receipt this Period

1000.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Transaction ID: SA11C.15462

Full Name (Last, First, Middle Initial)

B. Chicago and Central States Union

Mailing Address

333 S. Ashland Avenue

City

Chicago

State

IL

Zip Code

60607

Date of Receipt

M M / D D / Y Y Y Y  
10 / 21 / 2002

Amount of Each Receipt this Period

2500.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Transaction ID: SA11C.15457

Full Name (Last, First, Middle Initial)

Citizens to Elect Susana Mendota

Mailing Address

2646 S. Sawyer

City

Chicago

State

IL

Zip Code

60623

Date of Receipt

M M / D D / Y Y Y Y  
11 / 04 / 2002

Amount of Each Receipt this Period

1000.00

FEC ID number of contributing  
federal political committee

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Transaction ID: SA11C.15565

4500.00

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)



# SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 62 '201

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25  
☐ 26 ☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

DEMOCRATIC PARTY OF ILLINOIS

Full Name (Last, First, Middle Initial)

Hispanic Democratic Organization

Date of Disbursement

11 M ' 01 Y 2002 Y

Mailing Address

77 W. Wacker Drive

Amount of Each Disbursement this Period

40000.00

City

Chicago

State

IL

Zip Code

60601

Purpose of Disbursement

Direct Contribution

Candidate Name

Category/  
Type

Office Sought:

House

Disbursement For:

2002

Senate

Primary

X General

President

Other (specify) ▼

Transaction ID: SB23.15885

State:

District:

Full Name (Last, First, Middle Initial)

B. Midwest Democrats for Better Government

Date of Disbursement

11 M ' 08 Y 2002 Y

Mailing Address

701 W. Buena

Amount of Each Disbursement this Period

1500.00

City

Chicago

State

IL

Zip Code

60647

Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:

House

Disbursement For:

2002

Senate

Primary

X General

President

Other (specify) ▼

Transaction ID: SB23.16193

State:

District:

Full Name (Last, First, Middle Initial)

C. Midwest Democrats for Better Government

Date of Disbursement

11 M ' 08 Y 2002 Y

Mailing Address

701 W. Buena

Amount of Each Disbursement this Period

250.00

City

Chicago

State

IL

Zip Code

60647

Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:

House

Disbursement For:

2002

Senate

Primary

X General

President

Other (specify) ▼

Transaction ID: SB23.16277

State:

District:

SUBTOTAL of Disbursements This Page (optional)

41750.00

TOTAL This Period (last page this line number only)

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:

PAGE 57 / 201

(check only one)

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

DEMOCRATIC PARTY OF ILLINOIS

Full Name (Last, First, Middle Initial)

TENET Healthcare Corporation

Mailing Address

3820 State Street

City

Santa Barbara

State

CA

Zip Code

93105

FEC ID number of contributing  
federal political committee.

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	3	1	2	0	0	2

Amount of Each Receipt this Period

1000.00

[MEMO ITEM]

Transaction ID: SA11C.16305.0

Full Name (Last, First, Middle Initial)

B. IL Demo Victory 2002

Mailing Address

222 N. LaSalle

City

Chicago

State

IL

Zip Code

60601

FEC ID number of contributing  
federal political committee.

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

43061.73

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	3	1	2	0	0	2

Amount of Each Receipt this Period

2061.73

Non Federal Account Trans-  
fer

[MEMO ITEM]

Transaction ID: SA11C.18305.1

Full Name (Last, First, Middle Initial)

C. IL Demo Victory 2002

Mailing Address

222 N. LaSalle

City

Chicago

State

IL

Zip Code

60601

FEC ID number of contributing  
federal political committee.

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

44558.29

Date of Receipt

M	M	D	D	Y	Y	Y	Y
1	0	3	1	2	0	0	2

Amount of Each Receipt this Period

1508.56

Non Federal funds

[MEMO ITEM]

Transaction ID: SA11C.16305.2

0.00

SUBTOTAL of Receipts This Page (optional)

TOTAL This Period (last page this line number only)

23038153388

23038153388

1